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JC562 U.S. PTO

JEAN-MARC ZIMMERMAN*^Δ

OF COUNSEL

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^Δ REGISTERED TO PRACTICE BEFORE THE
U.S. PATENT AND TRADEMARK OFFICE

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JC564 U.S. PTO
09/619255
07/19/00

July 19, 2000

VIA EXPRESS MAIL

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Re: U.S. Non-Provisional Patent Application for System And Method
For Interactive, Computer Assisted Personalization Of On-
Line Merchandise Purchases

Dear Sir:

Enclosed please find the following items:

1. a copy of the above-identified application;
2. an executed Declaration and Power of Attorney;
3. an executed Small Entity Statement;
4. a check in the amount of \$354.00 to cover the
filing fee; and
5. a stamped, self-addressed postcard.

Kindly stamp and return the postcard to the undersigned upon receipt in
the Patent Office of the foregoing items.

Respectfully submitted,

Jean-Marc Zimmerman
Jean-Marc Zimmerman

JMZ/id
enclosures
cc: Dr. Catherine Lin-Hendel

09/619255-071900

Attorney's Docket No.

Applicant or Patentee: Dr. Catherine Lin-Hendel, Ph. D.

Serial or Patent No.:

Fixed or Issued:

For: System And Method For Interactive, Computer Assisted
Personalization Of On-Line Merchandise Purchases

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(c))- SMALL BUSINESS CONCERN

I hereby declare that I am

The owner of the small business concern identified below:

an official of the small business concern empowered to act
on behalf of the concern identified below:

NAME OF CONCERN: Dr. Catherine Lin-Hendel, Ph. D.
ADDRESS OF CONCERN: 18850 Blythswood Drive
Los Gatos, California 95030

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18 and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both. I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled System And Method For Interactive, Computer Assisted Personalization Of On-Line Merchandise And Purchase Services by inventor(s) Dr. Catherine Lin-Hendel, Ph. D. described in the:

the 'specification filed herewith

Application Serial No. filed on

Patent No. issued on

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) if that

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Received Jul-18-00 08:54am

from 908 654 7207 to MakeCom Lin-Hendel
908 654 7207 ; Jul-18-00 12:28PM;

page 5

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Page 5/5

inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) if that person made this invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9(e).

Name:

Address:

Individual

Small Business Concern

Nonprofit Organization

I acknowledge the duty to file in his application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fees due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing:

Title of Person Other Than Owner:

Address of Person Signing:

Dr. Catherine Lin-Hendel, Ph. D.

18850 Blythwood Drive
Los Gatos, California 95030

Signature: Catherine L. Hendel Date: 7/18/2000

00619255-071900

Certificate of Express Mailing
Express Mail Label No. EK296683675US
Date of Deposit: July 19, 2000

I hereby certify that the enclosed U.S. Non-Provisional Patent Application for System And Method For Interactive, Computer Assisted Personalization Of On-Line Merchandise Purchases is being sent Express Mail Post Office Service under 37 C.F.R. Section 1.10 on the date indicated above and are addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Jean-Marc Zimmerman
Jean-Marc Zimmerman

